

STATEMENT OF ECONOMIC INTERESTS  
COVER PAGE

SCO-Personnel  
HUMAN RESOURCES

2013 APR -3 AM 11:27

Please type or print in ink.

NAME OF FILER (LAST) (FIRST) (MIDDLE)  
MANDEL MARCY JO

1. Office, Agency, or Court

Agency Name

STATE CONTROLLER'S OFFICE

Division, Board, Department, District, if applicable

EXECUTIVE OFFICE

Your Position

DEPUTY STATE CONTROLLER, TAXATION

► If filing for multiple positions, list below or on an attachment.

Agency: SEE ATTACHED Position: CONTROLLER'S DEPUTY-DESIGNEE

2. Jurisdiction of Office (Check at least one box)

- ☒ State ☐ Judge or Court Commissioner (Statewide Jurisdiction)  
☐ Multi-County ☐ County of \_\_\_\_\_  
☐ City of \_\_\_\_\_ ☐ Other \_\_\_\_\_

3. Type of Statement (Check at least one box)

- ☒ Annual: The period covered is January 1, 2012, through December 31, 2012.  
-or-  
The period covered is \_\_\_\_\_, through December 31, 2012.  
☐ Assuming Office: Date assumed \_\_\_\_\_  
☐ Leaving Office: Date Left \_\_\_\_\_  
(Check one)  
☐ The period covered is January 1, 2012, through the date of leaving office.  
☐ The period covered is \_\_\_\_\_, through the date of leaving office.  
☐ Candidate: Election year \_\_\_\_\_ and office sought, if different than Part 1: \_\_\_\_\_

4. Schedule Summary

Check applicable schedules or "None."

► Total number of pages including this cover page: 32

- ☒ Schedule A-1 - Investments - schedule attached ☐ Schedule C - Income, Loans, & Business Positions - schedule attached  
☐ Schedule A-2 - Investments - schedule attached ☒ Schedule D - Income - Gifts - schedule attached  
☐ Schedule B - Real Property - schedule attached ☐ Schedule E - Income - Gifts - Travel Payments - schedule attached  
-or-  
☐ None - No reportable interests on any schedule

5. Verification

MAILING ADDRESS STREET CITY STATE ZIP CODE  
(Business or Agency Address Recommended - Public Document)  
777 SOUTH FIGUEROA ST, STE 4800 LOS ANGELES CA 90017  
DAYTIME TELEPHONE NUMBER E-MAIL ADDRESS (OPTIONAL)  
(213) 833-6010 mmandel@SCO.ca.gov

I have used all reasonable diligence in preparing this statement. I have reviewed this statement and to the best of my knowledge the information contained herein and in any attached schedules is true and complete. I acknowledge this is a public document.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date Signed 3/25/13  
(month, day, year)

Signature

MARCY JO MANDEL

BOARDS WHERE DESIGNATED TO REPRESENT THE CONTROLLER  
STATE BOARD OF EQUALIZATION

FRANCHISE TAX BOARD

CALIFORNIA DEBT LIMIT ADVISORY COMMITTEE

CALIFORNIA TAX CREDIT ALLOCATION COMMITTEE

OCEAN PROTECTION COUNCIL

STATE LANDS COMMISSION

# SCHEDULE A-1

## Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

NAME OF BUSINESS ENTITY  
ABBOTT LABORATORIES

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
HEALTHCARE-PHARMACEUTICAL-MEDICAL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12    \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
AKZO NOBEL NV

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
CHEMICALS, DRUGS, HEALTHCARE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12    \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
AEGON NV

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
INSURANCE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12    \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
AMERICA MOVIL SAB DE CV

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
WIRELESS COMMUNICATION

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12    \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
AKAMAI TECHNOLOGIES INC.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
INTERNET TECHNOLOGY

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12    \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
AMERICAN WATER WORKS CO., INC.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
WATER UTILITY

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12    \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED    DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

▶ NAME OF BUSINESS ENTITY

AMERISOURCE BERGEN CORP.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

PHARMACEUTICAL SERVICES

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

       /        / 12        /        / 12  
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

ANHEUSER-BUSCH INBEV

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

BEVERAGES

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

       /        / 12        /        / 12  
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

AMGEN INC.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

PHARMACEUTICAL - BIOTECH

FAIR MARKET VALUE

- ☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

       /        / 12        /        / 12  
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

APACHE CORP.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

OIL & GAS

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

       /        / 12        /        / 12  
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

ANADARKO PETROLEUM CORP.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

OIL & GAS

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

       /        / 12        /        / 12  
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

APPLE INC.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

COMPUTERS & PERIPHERALS

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

       /        / 12        /        / 12  
 ACQUIRED DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

▶ NAME OF BUSINESS ENTITY  
ARCHER DANIELS

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
AGRICULTURAL PROCESSING

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock    ☒ Other BOND (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
   /    / 12       /    / 12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
ASTRAZENECA PC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
PHARMACEUTICAL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
   /    / 12       /    / 12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
ASML HOLDING NV

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
SEMICONDUCTOR & SEMICONDUCTOR EQMT

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
   /    / 12       /    / 12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
ATLAS COPCO AB

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
INDUSTRIAL PRODUCTIVITY SOLUTIONS  
INDUSTRIAL TOOLS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
   /    / 12       /    / 12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
ASTELLAS PHARMA INC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
PHARMACEUTICAL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
   /    / 12       /    / 12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
AUTODESK INC.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
SOFTWARE & SERVICES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
   /    / 12       /    / 12  
 ACQUIRED                      DISPOSED

Comments:

**SCHEDULE A-1**  
**Investments**

**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)  
Do not attach brokerage or financial statements.

**CALIFORNIA FORM 700**  
FAIR POLITICAL PRACTICES COMMISSION

Name  
MARCY JO MANDEL

► NAME OF BUSINESS ENTITY  
AXA S.A.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
INSURANCE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1 / 1 / 12 1 / 1 / 12  
ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY  
BAXTER INTERNATIONAL INC.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
HEALTHCARE - MEDICAL DEVICES, BIOTECH, PHARMACEUTICALS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
7, 27 / 12 1 / 1 / 12  
ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY  
BARCLAYS PLC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
FINANCIAL - BANK

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1 / 1 / 12 1 / 1 / 12  
ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY  
BED BATH & BEYOND

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
MERCHANDISING

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1 / 1 / 12 1 / 1 / 12  
ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY  
BASF SE

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
CHEMICALS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
4, 27 / 12 1 / 1 / 12  
ACQUIRED DISPOSED

► NAME OF BUSINESS ENTITY  
BIOGEN IDEC INC.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
BIOTECHNOLOGY

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1 / 1 / 12 1 / 1 / 12  
ACQUIRED DISPOSED

Comments: \_\_\_\_\_

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

NAME OF BUSINESS ENTITY  
BLACKROCK INC.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
FINANCIAL - INVESTING

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/12 ACQUIRED \_\_\_\_/\_\_\_\_/12 DISPOSED

NAME OF BUSINESS ENTITY  
CA INCORPORATED

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
IT MANAGEMENT SOFTWARE & SOLUTIONS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
5/23/12 ACQUIRED \_\_\_\_/\_\_\_\_/12 DISPOSED

NAME OF BUSINESS ENTITY  
BP-PLC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
ENERGY - OIL & GAS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/12 ACQUIRED \_\_\_\_/\_\_\_\_/12 DISPOSED

NAME OF BUSINESS ENTITY  
CABLEVISION SYSTEMS CORP

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
MEDIA

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/12 ACQUIRED \_\_\_\_/\_\_\_\_/12 DISPOSED

NAME OF BUSINESS ENTITY  
BROADCOM CORP

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
SEMICONDUCTOR & SEMICONDUCTOR EQMT

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/12 ACQUIRED \_\_\_\_/\_\_\_\_/12 DISPOSED

NAME OF BUSINESS ENTITY  
CAMERON INTERNATIONAL CORP

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
ENERGY EQMT & SERVICES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/12 ACQUIRED \_\_\_\_/\_\_\_\_/12 DISPOSED

Comments:

**SCHEDULE A-1**  
**Investments**

**Stocks, Bonds, and Other Interests**

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

**CALIFORNIA FORM 700**  
FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

▶ NAME OF BUSINESS ENTITY

CANON INC.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

OFFICE EQMT

FAIR MARKET VALUE

☐ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☐ \$100,001 - \$1,000,000

☐ Over \$1,000,000

NATURE OF INVESTMENT

☐ Stock

☐ Other

(Describe)

☐ Partnership

☐ Income Received of \$0 - \$499

☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

    /     / 12

ACQUIRED

    /     / 12

DISPOSED

▶ NAME OF BUSINESS ENTITY

CARREFOUR SA

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

SUPERMARKETS / DEPT STORES

FAIR MARKET VALUE

☒ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☐ \$100,001 - \$1,000,000

☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

☐ Partnership

☐ Income Received of \$0 - \$499

☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

    /     / 12

ACQUIRED

    /     / 12

DISPOSED

▶ NAME OF BUSINESS ENTITY

CEMEX S.A.B. DE CV

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

CEMENT, AGGREGATES, CONSTRUCTION MATERIAL

FAIR MARKET VALUE

☒ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☐ \$100,001 - \$1,000,000

☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

☐ Partnership

☐ Income Received of \$0 - \$499

☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

    /     / 12

ACQUIRED

    /     / 12

DISPOSED

▶ NAME OF BUSINESS ENTITY

CENTRAIS ELECTRICAS BRASILEIRAS

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

ENERGY

FAIR MARKET VALUE

☒ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☐ \$100,001 - \$1,000,000

☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

☐ Partnership

☐ Income Received of \$0 - \$499

☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

    /     / 12

ACQUIRED

    /     / 12

DISPOSED

▶ NAME OF BUSINESS ENTITY

CELGENE CORP

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

BIOTECHNOLOGY - BIOPHARM

FAIR MARKET VALUE

☒ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☐ \$100,001 - \$1,000,000

☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

☐ Partnership

☐ Income Received of \$0 - \$499

☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

    /     / 12

ACQUIRED

    /     / 12

DISPOSED

▶ NAME OF BUSINESS ENTITY

CHARLES SCHWAB CORP.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

INVESTING / FINANCIAL

FAIR MARKET VALUE

☒ \$2,000 - \$10,000

☐ \$10,001 - \$100,000

☐ \$100,001 - \$1,000,000

☐ Over \$1,000,000

NATURE OF INVESTMENT

☒ Stock

☐ Other

(Describe)

☐ Partnership

☐ Income Received of \$0 - \$499

☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

    /     / 12

ACQUIRED

    /     / 12

DISPOSED

Comments:



# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

NAME OF BUSINESS ENTITY  
CINCINNATI FINANCIAL CORP.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
INSURANCE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1 / 1 / 12    1 / 1 / 12  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
CME GROUP INC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
FINANCIAL INVESTMENTS & SERVICES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
2 / 8 / 12    1 / 1 / 12  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
CISCO SYSTEMS INC.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
COMMUNICATIONS EQMT

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1 / 1 / 12    1 / 1 / 12  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
COCA-COLA CO

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
FOOD & BEVERAGES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1 / 1 / 12    1 / 1 / 12  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
CITRIX SYSTEMS INC.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
TECHNOLOGY SOLUTIONS FOR IT SERVICES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
10 / 19 / 12    1 / 1 / 12  
 ACQUIRED    DISPOSED  
*date went over \$2000*

NAME OF BUSINESS ENTITY  
COVIDIEN PLC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
HEALTHCARE PRODUCTS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
8 / 6 / 12    1 / 1 / 12  
 ACQUIRED    DISPOSED  
*date went over \$2000*

Comments:

**SCHEDULE A-1**  
**Investments**

**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)  
Do not attach brokerage or financial statements.

**CALIFORNIA FORM 700**  
FAIR POLITICAL PRACTICES COMMISSION

Name  
MARCY JO MANDEL

▶ NAME OF BUSINESS ENTITY  
CREE INC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
LED PRODUCTS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
    /     / 12     /     / 12  
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY  
DANATHER CORP

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
MANUFACTURING & TECHNOLOGY

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock ☒ Other BOND (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
    /     / 12     /     / 12  
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY  
CRH PLC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
BUILDING MATERIAL MFG

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
    /     / 12     /     / 12  
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY  
DAIICHI SANKYO CO LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
PHARMACEUTICALS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
11/13/12     /     / 12  
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY  
CVS CAREMARK CORP

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
RETAILING - DRUGS & COSMETICS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
    /     / 12     /     / 12  
ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY  
DEUTSCHE TELEKOM SA

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
TELECOMMUNICATIONS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
    /     / 12     /     / 12  
ACQUIRED DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

▶ NAME OF BUSINESS ENTITY

DIAGEO PLC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

DRINKS (ALCOHOLIC)

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

4/1/12 DATE went over \$2000  
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

DOLBY LABORATORIES INC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

TECHNOLOGY-ENTERTAINMENT INDUSTRY

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

1/1/12 1/1/12  
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

DIGITAL REALT TRUST INC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

REIT- REAL ESTATE INVESTMENT TRUST

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

1/1/12 1/1/12  
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

RR DONNELLY & SONS CO

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

PRINTING

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

1/1/12 1/1/12  
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

DIRECT TV

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

DIGITAL TV ENTERTAINMENT

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

1/1/12 1/1/12  
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

EATON CORP. PLC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

MACHINERY-POWER MGMT COMPANY

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

1/1/12 1/1/12  
 ACQUIRED DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**  
FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

▶ NAME OF BUSINESS ENTITY  
ELI LILLY & CO.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
PHARMACEUTICAL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
ENI SPA

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
ENERGY

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
EMC CORP

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
DISK STORAGE SYSTEMS - TECH

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
ENSCO PLC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
OFFSHORE CONTRACT DRILLING SERVICES - OIL & GAS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1/26/12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED      DISPOSED  
 Went over \$1000 - 3/23/12

▶ NAME OF BUSINESS ENTITY  
ENERPLUS CORPORATION

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
ENERGY - OIL & GAS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      6.15.12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
EOG RESOURCES INC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
OIL & GAS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
9.14.12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED      DISPOSED  
 date went over \$2000

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

NAME OF BUSINESS ENTITY  
ERICSSON LM TEL CO

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
COMMUNICATIONS EQMT

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1/1/12 1/1/12  
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY  
FLUOR CORP

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
ENGINEERING/CONSTRUCTION

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1/1/12 1/1/12  
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY  
EXPRESS SCRIPTS HLDG CO

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
HEALTHCARE MGMT & ADMIN

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1/4/12 1/1/12  
ACQUIRED DISPOSED  
5/23/12 7/10/12 - went over \$2000 7/13/12

NAME OF BUSINESS ENTITY  
FORESC LABORATORIES

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
PHARMACEUTICALS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1/1/12 1/1/12  
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY  
FIRST PACIFIC LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
INVESTMENT MGMT & HOLDING CO

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
5/21/12 1/1/12  
ACQUIRED DISPOSED  
First over \$2000 on 6/15/12

NAME OF BUSINESS ENTITY  
FRANCE TELECOM

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
TELECOMMUNICATIONS SERVICES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1/1/12 1/1/12  
ACQUIRED DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

NAME OF BUSINESS ENTITY  
GDF SUEZ

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
NATURAL GAS & ELECTRICITY SUPPLIER

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
3 / 22 / 12 7/10/12 - data DISPOSED over \$200

NAME OF BUSINESS ENTITY  
GLAXOSMITHKLINE PLC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
DRUGS & COSMETICS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_ / \_\_\_\_ / 12 \_\_\_\_ / \_\_\_\_ / 12  
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY  
GENERAL ELECTRIC CO

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
ELECTRICAL EQMT

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_ / \_\_\_\_ / 12 \_\_\_\_ / \_\_\_\_ / 12  
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY  
GOOGLE INC.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
TECHNOLOGY - INTERNET

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_ / \_\_\_\_ / 12 \_\_\_\_ / \_\_\_\_ / 12  
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY  
H J HEINZ CO

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
FOOD PRODUCTS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_ / \_\_\_\_ / 12 \_\_\_\_ / \_\_\_\_ / 12  
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY  
GRUPO TELEVISAS.A. GLOBAL

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
TV BROADCASTING

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_ / \_\_\_\_ / 12 \_\_\_\_ / \_\_\_\_ / 12  
ACQUIRED DISPOSED

Comments:

# SCHEDULE A-1 Investments

## Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**  
FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

▶ NAME OF BUSINESS ENTITY  
HCP INC. (HEALTHCARE PROPERTIES INVESTMENT)

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
REAL ESTATE INVESTMENT

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
HONG KONG & CHINA GAS

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
GAS & WATER UTILITY

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
HOME DEPOT INC.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
MERCHANDISING

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
HOSPITALITY PROPERTIES TRUST

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
REIT - HOTELS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
HONDA MOTOR CO LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
AUTOMOTIVE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
HSBC HOLDING PLC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
BANK

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED      DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

NAME OF BUSINESS ENTITY  
HUMAN GENOME SCIENCES

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
BIO PHARMACEUTICAL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1/1/12 7/16/12  
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY  
ING GROEP NV

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
FINANCIAL SERVICES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1/1/12 1/1/12  
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY  
HUTCHISON WHAMPOA LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
CONGLOMERATE - PORTS & Telecom Ops, property, energy, infrastructure

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1/1/12 1/1/12  
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY  
INTEL CORP

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
TECHNOLOGY

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1/1/12 1/1/12  
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY  
ICON PLC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
CONTRACT RESEARCH FOR BIO PHARM BIO TECH MEDICAL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1/1/12 1/1/12  
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY  
INTERNATIONAL PAPER CO

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
PAPER & PACKAGING

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1/1/12 1/1/12  
ACQUIRED DISPOSED

Comments:



# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

▶ NAME OF BUSINESS ENTITY  
INTUIT INC.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
BUSINESS & FINANCIAL SOLUTIONS - IT

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
JUNIPER NETWORKS INC.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
COMMUNICATIONS EQMT-NETWORK INFRASTRUCTURE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
IVY GLOBAL NATURAL RESOURCES

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
MUTUAL FUND - MATERIALS INDUSTRY FOCUS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock      ☒ Other MUTUAL FUND (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
KINDER MORGAN MANAGEMENT LLC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
ENERGY TRANSPORTATION & STORAGE

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
JOHNSON & JOHNSON INC.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
DRUGS & COSMETICS

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000      ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
KINGFISHER PLC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
RETAILER - HOME IMPROVEMENT

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED      DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

NAME OF BUSINESS ENTITY  
KOMATSU LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
CONSTRUCTION MACHINERY/VEHICLES & INDUSTRIAL MACHINES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
4/17 12/19/12  
ACQUIRED 12/19/12 DISPOSED over \$200

NAME OF BUSINESS ENTITY  
LIBERTY INTERACTIVE CO

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
DIGITAL COMMERCE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1/12 1/12  
ACQUIRED 1/12 DISPOSED 1/12

NAME OF BUSINESS ENTITY  
KONINKIJE AHOOLD NV

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
FOOD & BEVERAGE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1/12 1/12  
ACQUIRED 1/12 DISPOSED 1/12

NAME OF BUSINESS ENTITY  
LIBERTY MEDIA CO

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
MEDIA, COMMUNICATIONS, ENTERTAINMENT

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1/12 1/12  
ACQUIRED 1/12 DISPOSED 1/12

NAME OF BUSINESS ENTITY  
L3 COMMUNICATIONS HOLDINGS INC.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
AEROSPACE & DEFENSE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1/12 1/12  
ACQUIRED 1/12 DISPOSED 1/12

NAME OF BUSINESS ENTITY  
LINCO LLC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
OIL & GAS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
12/19 12/21/12  
ACQUIRED 12/21/12 DISPOSED 12/21/12  
Went over \$2000

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

#### NAME OF BUSINESS ENTITY

MARKS & SPENCER GROUP PLC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

MERCHANDISING

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

1/12 ACQUIRED 1/12 DISPOSED

#### NAME OF BUSINESS ENTITY

MAXIM INTEGRATED PRODUCTS INC.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

SEMICONDUCTORS & SEMICONDUCTOR EQMT

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

1/12 ACQUIRED 1/12 DISPOSED

#### NAME OF BUSINESS ENTITY

MERCK & CO INC.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

PHARMACEUTICAL

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

1/12 ACQUIRED 1/12 DISPOSED

#### NAME OF BUSINESS ENTITY

METTLER TOLEDO INC.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

ELECTRONIC EQMT & SERVICES

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

1/12 ACQUIRED 1/12 DISPOSED

#### NAME OF BUSINESS ENTITY

MEADWESTVACO CORP.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

PACKAGING RESOURCES

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

1/12 ACQUIRED 11/20/12 DISPOSED  
also 10/18  
10/19  
11/19

#### NAME OF BUSINESS ENTITY

MICROCHIP TECHNOLOGY INC.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

SEMICONDUCTOR PRODUCTS

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

1/12 ACQUIRED 1/12 DISPOSED

Comments:

# SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests  
(Ownership Interest is Less Than 10%)  
Do not attach brokerage or financial statements.

CALIFORNIA FORM 700  
FAIR POLITICAL PRACTICES COMMISSION

Name  
MARCY JO MANDEL

NAME OF BUSINESS ENTITY  
MICROSOFT CORP

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
TECHNOLOGY

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000  
☐ \$100,001 - \$1,000,000  
☒ \$10,001 - \$100,000 ← this one  
☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY  
J. SAINSBURY PLC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
RETAILING - FOOD & STAPLES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000  
☐ \$100,001 - \$1,000,000  
☐ \$10,001 - \$100,000  
☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY  
MITSUBISHI UFG FINANCIAL

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
FINANCIAL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000  
☐ \$100,001 - \$1,000,000  
☐ \$10,001 - \$100,000  
☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY  
MS&AD INSURANCE

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
INSURANCE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000  
☐ \$100,001 - \$1,000,000  
☐ \$10,001 - \$100,000  
☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY  
MONSANTO CO.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
CHEMICALS/AGRICULTURAL PRODUCTS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000  
☐ \$100,001 - \$1,000,000  
☐ \$10,001 - \$100,000  
☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY  
NATIONAL GRID PLC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
UTILITY - ELECTRICITY & GAS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000  
☐ \$100,001 - \$1,000,000  
☐ \$10,001 - \$100,000  
☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

▶ NAME OF BUSINESS ENTITY  
NATIONAL OILWELL VARCO INC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
ENERGY EQMT & SUPPLIES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12    \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED    DISPOSED

▶ NAME OF BUSINESS ENTITY  
NEWS CORP

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
MEDIA

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12    \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED    DISPOSED

▶ NAME OF BUSINESS ENTITY  
NESTLE SA

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
FOOD & BEVERAGE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12    \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED    DISPOSED

▶ NAME OF BUSINESS ENTITY  
NIKE INC.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
ATHLETIC FOOTWEAR/APPARAL/EQMT

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12    \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED    DISPOSED

▶ NAME OF BUSINESS ENTITY  
NETAPP INC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
ENTERPRISE MGMT, DATA HARDWARE/ SOFTWARE & SERVICES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12    \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED    DISPOSED

▶ NAME OF BUSINESS ENTITY  
NIPPON TELEGRAPH & TELEPHONE

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
TELECOM - PUBLIC UTILITY

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12    \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED    DISPOSED

Comments: \_\_\_\_\_

# SCHEDULE A-1 Investments

Stocks, Bonds, and Other Interests  
(Ownership Interest is Less Than 10%)  
Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**  
FAIR POLITICAL PRACTICES COMMISSION

Name  
MARCY JO MANDEL

NAME OF BUSINESS ENTITY  
NISOURCE INC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Energy Holding Co - GAS & ELECTRIC

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1/1/12 1/1/12  
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY  
NOVO-NORDISK

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
PHARMACEUTICAL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1/1/12 1/1/12  
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY  
NISSAN MOTOR LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
AUTOMOTIVE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1/1/12 3/27/12  
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY  
NUCOR CORP

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
STEEL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1/1/12 1/1/12  
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY  
NORTHEAST UTILITIES

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
ELECTRIC UTILITY - PUBLIC UTILITY

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
1/1/12 7/27/12  
ACQUIRED DISPOSED

NAME OF BUSINESS ENTITY  
LUKOIL HOLDINGS

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
OIL & GAS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
3/27/12 1/1/12  
ACQUIRED DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

NAME OF BUSINESS ENTITY  
ONEOK INC.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
GAS UTILITY - natural gas, purchase, transport, storage, distrib

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/12 ACQUIRED \_\_\_\_/\_\_\_\_/12 DISPOSED

NAME OF BUSINESS ENTITY  
PEPSICO INC.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
FOOD & BEVERAGE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/12 ACQUIRED \_\_\_\_/\_\_\_\_/12 DISPOSED

NAME OF BUSINESS ENTITY  
ORIX CORP

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
FINANCIAL PRODUCTS & SERVICES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/12 ACQUIRED \_\_\_\_/\_\_\_\_/12 DISPOSED

NAME OF BUSINESS ENTITY  
PETROLEO BRASILEIRO SA

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
OIL & GAS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/12 ACQUIRED \_\_\_\_/\_\_\_\_/12 DISPOSED

NAME OF BUSINESS ENTITY  
PALL CORPORATION

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
MACHINERY - FILTERS MFG

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/12 ACQUIRED \_\_\_\_/\_\_\_\_/12 DISPOSED

NAME OF BUSINESS ENTITY  
PFIZER INC.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
DRUGS & COSMETICS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/12 ACQUIRED \_\_\_\_/\_\_\_\_/12 DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

► NAME OF BUSINESS ENTITY  
PROCTER & GAMBLE

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
CONSUMER PRODUCTS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED      DISPOSED

► NAME OF BUSINESS ENTITY  
SANDISK CORP

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
COMPUTERS & PERIPHERAL EQMT

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED      DISPOSED

► NAME OF BUSINESS ENTITY  
QUALCOMM INC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
DIGITAL COMMUNICATIONS PRODUCTS/ SERVICES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED      DISPOSED

► NAME OF BUSINESS ENTITY  
SANOBI

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
PHARMACEUTICAL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED      DISPOSED

► NAME OF BUSINESS ENTITY  
RIO TINTO PLC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
MINING

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED      DISPOSED

► NAME OF BUSINESS ENTITY  
SAP AG

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
SOFTWARE

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000      ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000      ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock      ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership      ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12      \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED      DISPOSED

Comments:



# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**  
FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

▶ NAME OF BUSINESS ENTITY  
SCHLUMBERGER LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
TECHNOLOGY - OIL & GAS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☒ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12    \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
SEAGATE TECHNOLOGY PLC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
COMPUTERS & PERIPHERALS

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000    ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12    \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
SEADRILL LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
OFFSHORE DEEPWATER DRILLING - OIL & GAS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12    \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
SEVEN 9 HOLDINGS CO LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
SUPERMARKETS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12    \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
SHIRE PLC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
PHARMACEUTICALS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12    \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED                      DISPOSED

▶ NAME OF BUSINESS ENTITY  
SIGNET JEWELERS LIMITED

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
SPECIALTY RETAIL JEWELER

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
                                  ☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 4/18/12  
 4/19/12  
 \_\_\_\_\_ / \_\_\_\_\_ / 12    \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED                      DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**  
FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

NAME OF BUSINESS ENTITY  
SK TELECOM LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
PUBLIC UTILITIES-TELECOM

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/12 ACQUIRED \_\_\_\_/\_\_\_\_/12 DISPOSED

NAME OF BUSINESS ENTITY  
STATOIL ASA

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
OIL & GAS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/12 ACQUIRED \_\_\_\_/\_\_\_\_/12 DISPOSED

NAME OF BUSINESS ENTITY  
SPDR GOLD TRUST

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
EXCHANGE TRADED FUND-GOLD

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000 ☒ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock ☒ Other INVESTMENT TRUST (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/12 ACQUIRED \_\_\_\_/\_\_\_\_/12 DISPOSED

NAME OF BUSINESS ENTITY  
SUMITOMO MITSUBI FINANCIAL GROUP INC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
FINANCIAL

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/12 ACQUIRED \_\_\_\_/\_\_\_\_/12 DISPOSED

NAME OF BUSINESS ENTITY  
SPECTRA ENERGY CORP

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
NATURAL GAS TRANSPORT & DISTR

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/12 ACQUIRED \_\_\_\_/\_\_\_\_/12 DISPOSED

NAME OF BUSINESS ENTITY  
SWISSCOM AG

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
PUBLIC UTILITY-COMMUNICATIONS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/12 ACQUIRED 6/29/12 DISPOSED

Comments:

**SCHEDULE A-1**  
**Investments**

**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)  
Do not attach brokerage or financial statements.

**CALIFORNIA FORM 700**  
FAIR POLITICAL PRACTICES COMMISSION

Name  
MARCY JO MANDEL

▶ NAME OF BUSINESS ENTITY  
TARGET CORP

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
MERCHANDISING

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/12      \_\_\_\_/\_\_\_\_/12  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
TELECOM ITALIA SPA

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
TELECOMMUNICATIONS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/12      \_\_\_\_/\_\_\_\_/12  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
TDK CORP

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
INFO TECHNOLOGY - ELECTRONIC COMPONENT MFG

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/12      \_\_\_\_/\_\_\_\_/12  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
TELEFONICA SA

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
TELECOMMUNICATIONS

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/12      \_\_\_\_/\_\_\_\_/12  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
TE CONNECTIVITY LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
ELECTRONIC EQMT & SERVICES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/12      \_\_\_\_/\_\_\_\_/12  
ACQUIRED      DISPOSED

▶ NAME OF BUSINESS ENTITY  
TESCO PLC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
RETAILER

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
\_\_\_\_/\_\_\_\_/12      \_\_\_\_/\_\_\_\_/12  
ACQUIRED      DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM **700**

FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

▶ NAME OF BUSINESS ENTITY

TEXAS INSTRUMENTS INC.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

SEMICONDUCTORS & SEMICONDUCTOR EQMT

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

1/1/12 1/1/12  
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

THE ADT CORPORATION

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

SECURITY PRODUCTS & SERVICES

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

1/1/12 1/1/12  
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

TIM PARTICIPACIOS SA

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

TELECOMMUNICATIONS

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

1/1/12 1/1/12  
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

TOKIO MARINE HOLDINGS INC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

INSURANCE

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

1/1/12 1/1/12  
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

THERMO FISHER SCIENTIFIC INC

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

ANALYTICAL TECHNOLOGIES & LAB. PRODUCTS & SERVICES

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

1/1/12 1/1/12  
 ACQUIRED DISPOSED

▶ NAME OF BUSINESS ENTITY

TOTAL SA

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

OIL & GAS

FAIR MARKET VALUE

- ☒ \$2,000 - \$10,000 ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000 ☐ Over \$1,000,000

NATURE OF INVESTMENT

- ☒ Stock ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:

1/1/12 1/1/12  
 ACQUIRED DISPOSED

Comments:

# SCHEDULE A-1

## Investments

### Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

NAME OF BUSINESS ENTITY  
TOYOTA MOTOR CORP

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Automotive

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
   /    / 12       /    / 12  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
UNILEVER NV

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Consumer Goods

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
   /    / 12       /    / 12  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
TYCO INTERNATIONAL LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Security Products & Services

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
   /    / 12       /    / 12  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
UNITED OVERSEAS BANK LTD

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
BANK

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
   /    / 12       /    / 12  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
UBS AG

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Financial Services

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
   /    / 12       /    / 12  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
UNITED PARCEL SERVICE

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
Package Delivery - Logistics

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
   /    / 12       /    / 12  
 ACQUIRED    DISPOSED

Comments:

**SCHEDULE A-1**  
**Investments**

**Stocks, Bonds, and Other Interests**  
(Ownership Interest is Less Than 10%)  
Do not attach brokerage or financial statements.

**CALIFORNIA FORM 700**  
FAIR POLITICAL PRACTICES COMMISSION

Name  
MARCY JO MANDEL

<p>▶ NAME OF BUSINESS ENTITY <u>UNITED HEALTH GROUP INC</u></p> <p>GENERAL DESCRIPTION OF BUSINESS ACTIVITY <u>HEALTHCARE SERVICES</u></p> <p>FAIR MARKET VALUE <input checked="" type="checkbox"/> \$2,000 - \$10,000    <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000    <input type="checkbox"/> Over \$1,000,000</p> <p>NATURE OF INVESTMENT <input checked="" type="checkbox"/> Stock    <input type="checkbox"/> Other _____ (Describe) <input type="checkbox"/> Partnership    <input type="radio"/> Income Received of \$0 - \$499                           <input type="radio"/> Income Received of \$500 or More (Report on Schedule C)</p> <p>IF APPLICABLE, LIST DATE: ____/____/12    ____/____/12 ACQUIRED                  DISPOSED</p>	<p>▶ NAME OF BUSINESS ENTITY <u>VODAFONE GROUP PLC</u></p> <p>GENERAL DESCRIPTION OF BUSINESS ACTIVITY <u>WIRELESS TELECOM</u></p> <p>FAIR MARKET VALUE <input checked="" type="checkbox"/> \$2,000 - \$10,000    <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000    <input type="checkbox"/> Over \$1,000,000</p> <p>NATURE OF INVESTMENT <input checked="" type="checkbox"/> Stock    <input type="checkbox"/> Other _____ (Describe) <input type="checkbox"/> Partnership    <input type="radio"/> Income Received of \$0 - \$499                           <input type="radio"/> Income Received of \$500 or More (Report on Schedule C)</p> <p>IF APPLICABLE, LIST DATE: ____/____/12    ____/____/12 ACQUIRED                  DISPOSED</p>
<p>▶ NAME OF BUSINESS ENTITY <u>VERTEX PHARMACEUTICALS INC</u></p> <p>GENERAL DESCRIPTION OF BUSINESS ACTIVITY <u>PHARMACEUTICALS - BIOTECH</u></p> <p>FAIR MARKET VALUE <input checked="" type="checkbox"/> \$2,000 - \$10,000    <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000    <input type="checkbox"/> Over \$1,000,000</p> <p>NATURE OF INVESTMENT <input checked="" type="checkbox"/> Stock    <input type="checkbox"/> Other _____ (Describe) <input type="checkbox"/> Partnership    <input type="radio"/> Income Received of \$0 - \$499                           <input type="radio"/> Income Received of \$500 or More (Report on Schedule C)</p> <p>IF APPLICABLE, LIST DATE: ____/____/12    ____/____/12 ACQUIRED                  DISPOSED</p>	<p>▶ NAME OF BUSINESS ENTITY <u>WALMART DE MEXICO SA</u></p> <p>GENERAL DESCRIPTION OF BUSINESS ACTIVITY <u>MERCHANDISING</u></p> <p>FAIR MARKET VALUE <input checked="" type="checkbox"/> \$2,000 - \$10,000    <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000    <input type="checkbox"/> Over \$1,000,000</p> <p>NATURE OF INVESTMENT <input checked="" type="checkbox"/> Stock    <input type="checkbox"/> Other _____ (Describe) <input type="checkbox"/> Partnership    <input type="radio"/> Income Received of \$0 - \$499                           <input type="radio"/> Income Received of \$500 or More (Report on Schedule C)</p> <p>IF APPLICABLE, LIST DATE: ____/____/12    ____/____/12 ACQUIRED                  DISPOSED</p>
<p>▶ NAME OF BUSINESS ENTITY <u>VISA INC</u></p> <p>GENERAL DESCRIPTION OF BUSINESS ACTIVITY <u>IT SERVICES - ELECTRONIC PAYMENTS</u></p> <p>FAIR MARKET VALUE <input checked="" type="checkbox"/> \$2,000 - \$10,000    <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000    <input type="checkbox"/> Over \$1,000,000</p> <p>NATURE OF INVESTMENT <input checked="" type="checkbox"/> Stock    <input type="checkbox"/> Other _____ (Describe) <input type="checkbox"/> Partnership    <input type="radio"/> Income Received of \$0 - \$499                           <input type="radio"/> Income Received of \$500 or More (Report on Schedule C)</p> <p>IF APPLICABLE, LIST DATE: ____/____/12    ____/____/12 ACQUIRED                  DISPOSED</p>	<p>▶ NAME OF BUSINESS ENTITY <u>WALMART STORES INC</u></p> <p>GENERAL DESCRIPTION OF BUSINESS ACTIVITY <u>MERCHANDISING</u></p> <p>FAIR MARKET VALUE <input checked="" type="checkbox"/> \$2,000 - \$10,000    <input type="checkbox"/> \$10,001 - \$100,000 <input type="checkbox"/> \$100,001 - \$1,000,000    <input type="checkbox"/> Over \$1,000,000</p> <p>NATURE OF INVESTMENT <input checked="" type="checkbox"/> Stock    <input type="checkbox"/> Other _____ (Describe) <input type="checkbox"/> Partnership    <input type="radio"/> Income Received of \$0 - \$499                           <input type="radio"/> Income Received of \$500 or More (Report on Schedule C)</p> <p>IF APPLICABLE, LIST DATE: ____/____/12    ____/____/12 ACQUIRED                  DISPOSED</p>

Comments: \_\_\_\_\_

# SCHEDULE A-1

## Investments

Stocks, Bonds, and Other Interests

(Ownership Interest is Less Than 10%)

Do not attach brokerage or financial statements.

CALIFORNIA FORM 700

FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

NAME OF BUSINESS ENTITY  
WALT DISNEY CO

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
ENTERTAINMENT

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12    \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
WINDSTREAM CORP

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
TELECOM SERVICES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12    5 / 24 / 12  
 ACQUIRED    DISPOSED prior 4/20/12, 5/17/12

NAME OF BUSINESS ENTITY  
WEATHERFORD INTERNATIONAL

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
ENERGY EQMT & SERVICES

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12    \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12    \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY  
WILLIAMS COMPANIES, INC.

GENERAL DESCRIPTION OF BUSINESS ACTIVITY  
NATURAL GAS PRODUCTION, PROCESSING, DISTRO

FAIR MARKET VALUE  
☒ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☒ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12    \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED    DISPOSED

NAME OF BUSINESS ENTITY

GENERAL DESCRIPTION OF BUSINESS ACTIVITY

FAIR MARKET VALUE  
☐ \$2,000 - \$10,000    ☐ \$10,001 - \$100,000  
☐ \$100,001 - \$1,000,000    ☐ Over \$1,000,000

NATURE OF INVESTMENT  
☐ Stock    ☐ Other \_\_\_\_\_ (Describe)  
☐ Partnership    ☐ Income Received of \$0 - \$499  
☐ Income Received of \$500 or More (Report on Schedule C)

IF APPLICABLE, LIST DATE:  
 \_\_\_\_\_ / \_\_\_\_\_ / 12    \_\_\_\_\_ / \_\_\_\_\_ / 12  
 ACQUIRED    DISPOSED

Comments:

# SCHEDULE D Income - Gifts

CALIFORNIA FORM **700**  
FAIR POLITICAL PRACTICES COMMISSION

Name

MARCY JO MANDEL

NAME OF SOURCE (Not an Acronym)

MICHELLE STEEL

ADDRESS (Business Address Acceptable)  
16715 VON KARMAN AVE, STE 200  
IRVINE, CA 92606

BUSINESS ACTIVITY, IF ANY, OF SOURCE

MEMBER, 3rd DIST., State Board of Equalization

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
10, 24, 12	\$50.00	Food & Beverage
12, 18, 12	\$75.00	Wine
1, 1, 1	\$	

NAME OF SOURCE (Not an Acronym)

Cynthia Bridges

ADDRESS (Business Address Acceptable)  
450 N Street, Sacramento CA 95814

BUSINESS ACTIVITY, IF ANY, OF SOURCE

Executive Director, State Board of Equalization

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
12, 18, 12	\$64.95	Pecans
1, 1, 1	\$	
1, 1, 1	\$	

NAME OF SOURCE (Not an Acronym)

Los Angeles County Bar Association

ADDRESS (Business Address Acceptable)  
1055 W. 7th St, Ste 2700, Los Angeles, CA 90017

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
6, 1, 12	\$60.00	Lunch Program
1, 1, 1	\$	
1, 1, 1	\$	

\*I presented certificate

NAME OF SOURCE (Not an Acronym)

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
1, 1, 1	\$	
1, 1, 1	\$	
1, 1, 1	\$	

NAME OF SOURCE (Not an Acronym)

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
1, 1, 1	\$	
1, 1, 1	\$	
1, 1, 1	\$	

NAME OF SOURCE (Not an Acronym)

ADDRESS (Business Address Acceptable)

BUSINESS ACTIVITY, IF ANY, OF SOURCE

DATE (mm/dd/yy)	VALUE	DESCRIPTION OF GIFT(S)
1, 1, 1	\$	
1, 1, 1	\$	
1, 1, 1	\$	

Comments: